

OCT 2002
50-30-02 : REC'D PCT/PCT 28 MAY 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 2002
MAY 28 2002



Applicant: Nakanishi et al. Examiner: Unknown
Serial No.: 10/070,288 Group Art Unit: Unknown
Filed: February 28, 2002 Docket: 10873.887USWO
Confirmation No.: Unknown Notice of Allow. NA
Due Date: May 28, 2002 Date:
Title: OPTICAL ELEMENT, SEMICONDUCTOR DEVICE, AND OPTICAL INFORMATION RECORDING DEVICE EMPLOYING THE SAME

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV077888300US
Date of Deposit: May 28, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents and Trademarks, Washington, D.C. 20231.

By: Name: John Junkins

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Transmittal Sheet in duplicate containing Certificate of Mailing
 Information Disclosure Statement, Form 1449, 1 Reference(s)
 Return postcard

RECEIVED

SEP 26 2002

Technology Center 2600

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Name: Douglas P. Mueller
Reg. No.: 30,300
D. Mueller:hb



Zm



IN 10/070,288

PATENT

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By:

John Junkers

#4

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

SEP 26 2002

Dear Sir:

Technology Center 2600

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

By 

Douglas P. Mueller
Reg. No. 30,300

Dated: May 28, 2002

DPM:hb